Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Pater and Trademark Office: U.S. DEPARTMENT OF COMMERCE prespond a collection of information unless it displays a valid OMB control number. U.S. Pa Under the Paperwork Reduction Act of 1995, no persons are required to respond

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 15

Application Number 09/882,283 **Filing Date** June 14, 2001 **First Named Inventor** Chen, Xiaopeng Group Art Unit 2631 **Examiner Name** Unassigned **Attorney Docket Number** 020945-001510US

FNOLOGUES (short all that are to								
ENCLOSURES (check all that apply)								
Fee Transmittal F	Form	Assignment Pap		After Allowance Communication to Group				
☐ Fee Attached	ı	Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Res	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ring Petition	Proprietary Information				
Affidavits/dec	claration(s)	Petition to Convert to a Provisional Application		Status Letter				
Extension of Time	e Request	Power of Attorne	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):				
Express Abandon	nment Request	Terminal Disclai		Application Data Sheet (ADS). Declaration.				
Information Disclosure Statement		CD, Number of CD(s)		Power of Attorney. Recordation Form Cover Sheet. Copy of the Notice to File Missing Parts. Postcard.				
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional fee Deposit Account 20-1430.					
Response to Missing Parts/ Incomplete Application			•					
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm	Townsend and Townsend and Crew LLP							
and Individual name	Kenneth R. Allen Reg. No. 27,301							
Signature	Kennetti R. Alle							
Date								
CERTIFICATE OF MAILING								

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 22, 2001

Typed or printed name

Kristipa Alvarez

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3178453 v1

Signature

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FEE TO A NOMETTAL		Complete if Known				
FEE TRANSMITTAL	Application Number	09/882,283				
fee TRANSMITTAL for FY 2001	Filing Date	June 14, 2001				
1200 L 2002	First Named Inventor	Chen, Xiaopeng				
Patent fees are subject to annual evision AN 0 4 2002	Examiner Name	Unassigned				
3	Group Art Unit	2631				
TOTAL AMOUNT OF PAYMENT (\$) 1462	Attorney Docket No.	020945-001510US				

						ZANE IN	<u> </u>					
METHOD OF PAYMENT					FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADD	ITIONAL	FEES	,		·			
Deposit Account Number			Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid				
			105	130	205	65	Surcharge - late filing fee or oath	130				
Deposit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Account Townsend and Townsend and Crew LLP					139	130	139	130	Non-English specification			
Name ',					147	2,520	147	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27					113	1,840°	113	1,840°	Requesting publication of SIR after Examiner action			
2. 🛭 F	Paymer	nt Encic	sed:				115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other					116	400	216	200	Extension for reply within second month			
Order					117	920	217	460	Extension for reply within third month			
1. BA	SIC FIL	ING FE		ALCULATION			118	1,440	218	720	Extension for reply within fourth month	
	ntity S	Small	Entity				128	1,960	228	980	Extension for reply within fifth month	
	ee F	ee	Fee	Fee Description)		119	320	219	160	Notice of Appeal	
Code (\$) (Code	(\$)		F	ee Paid	120	320	220	160	Filing a brief in support of an appeal	
		201	370	Utility filing fee	74	40	121	280	221	140	Request for oral hearing	
		206 207	165 255	Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 7	40 2	208	370	Reissue filing fee	,		140	110	240	55	Petition to revive - unavoidable	
114 1	60 2	214	80	Provisional filing	fee		141	1,280	241	640	Petition to revive – unintentional	
					_		142	1,280	242	640	Utility issue fee (or reissue)	
		SU	BTOTA	L (1)	(\$	5)740	143	460	243	230	Design issue fee	
2. EXTRA	CLAIM	IFEES	-				144	620	244	310	Plant issue fee	
				Extra Fee	e from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims	32	20**	- [Claims below	ow 18 = [Paid \$216	123	50	123	50	Petitions related to provisional applications	
Independent Claims	7	-3**	=	4 X \$	84 =	\$336	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_	_	x _	= [581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entit Fee	-	la.a		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Fee Description Claims in excess of 20			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102	84	202	42	Independent claims in excess of 3			179	740	279	370	Request for Continued Examination (RCE)	
104	280	204	140	Multiple deper	ndent claim, if	f not paid		-	219		Request for Continued Examination (RCE)	
109	84	209	** Reissue independent claims over original patent			169	900	169	900	Request for expedited examination of a design application		
110 18 210 9 *** Reissue claims in excess of 20 and over original patent				Other fee (specify)								
SUBTOTAL (2) (\$)552					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
**or number previously paid, if greater, For Reissues, see above					*Reduc	ed by Bas	sic Filin	Fee Pa	aid SUBTOTAL (3) (\$)170			
or number	r previou	isiy paid,	ır greatei	r, For Keissues, se	e apove							

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400	
Signature	Kennet	t R. Allen	Date	Oct 22, 2001		

Attorney Docket No.: 020945-001510US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addres od **Assistant Commissioner for Patents** Attn: Box Missing Parts JAN 0 4 2002 Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xiaopeng Chen et al.

Application No.: 09/882,283

Filed: June 14, 2001

For: METHOD FOR ITERATIVE

> AND NON-ITERATIVE DATA **DETECTION USING REDUCED-**

STATE SOFT-INPUT/SOFT-**OUTPUT ALGORITHMS FOR** COMPLEXITY REDUCTION

Examiner:

Unassigned

Art Unit:

2631

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

PARTS

ATTN: BOX MISSING PARTS **Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated August 21, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration.
- 2) Power of Attorney and Statement of Assignee Under 37 C.F.R. § 3.73(b).
- 3) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity) Excess Claims Fees (§ 1.16(b), (c)):

\$740.00

(b)

32 - 20 = 12

x \$18.00 =

\$216.00

7 - 3 = 4

x \$84.00 =

\$336.00

Missing Parts Surcharge

\$130.00

TOTAL FEES TO BE CHARGED

\$1,422.00

Xiaopeng Chen et al. Application No.: 09/882,283

Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Response is submitted in duplicate.

Respectfully submitted,

Kenneth R. Allen Reg. No. 27,301

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 415 576-0300 KRA/KC/ka PA 3178441 v1



United States Patent and Trans

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/882,283

06/14/2001

Xiaopeng Chen

020945-001510US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834



Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$268.
 - \$108 for 12 total claims over 20.
 - \$160 for 4 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 688.

	0%882283
A copy of this notice <u>MUST</u> be returned with the reply.	. 201430
Customer Service Center Initial Potent Francisco Division	00000051 740.00 CH 336.00 CH 216.00 CH 130.00 CH
Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE	NLUANG
	11/10/2002 11 FC:101 12 FC:102 13 FC:103 14 FC:105